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REVIEW

REVISED **Control of social status by sex steroids: insights from teleost fishes**

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Abstract

Many animals live in highly social environments, in which individuals must behave in a way that enables them to survive and live harmoniously among conspecifics. Dominance hierarchies are typical among social species and are essential for determining and preserving stability within social groups. Although there is considerable evidence that sex steroid hormones regulate behaviors associated with dominance, such as aggression and mating, fewer studies have examined the role of these hormones in controlling social status, especially in species that exhibit social hierarchies. Furthermore, despite this research, we know remarkably little about the precise neural and molecular mechanisms through which sex steroids modulate traits associated with social rank. Here, we review the neuroendocrine regulation of social status by sex steroids in teleost fishes, the largest and most diverse vertebrate group that shows extensive variation in reproductive systems and social structures between species. First, we describe the functions of sex steroids and novel steroid-related genes that teleost fishes possess due to a lineage-specific whole-genome duplication event. Then, we discuss correlational, pharmacological, and molecular genetic studies on the control of social status by sex steroids in teleost fishes, including recent studies that have implemented gene editing technologies, such as CRISPR/Cas9. Finally, we argue that gene editing approaches in teleost studies, within both integrative and comparative frameworks, will be vital for elucidating the role of sex steroids in controlling social rank and characterizing their neural and molecular mechanisms of action. Collectively, ongoing and future research in these species will provide novel insight into the evolution of the regulation of social status by sex steroids and other neuroendocrine substrates across vertebrates.

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androgens, aggression, estrogens, fish, gene editing, reproduction, social rank, steroids



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We have updated the article in response to both reviewers' concerns and suggestions. This includes updating our references and clarifying arguments regarding the role of androgens in social behavior.

Any further responses from the reviewers can be found at the end of the article

1. Introduction

Hierarchies are a common feature among social organisms¹⁻³. Rank along a social hierarchy impacts which members of a group have access to shelter, mates, and other resources, such as food. While social hierarchies can vary considerably in size and composition, they generally consist of higher-ranking dominant (DOM) individuals, which tend to have greater access to resources and more opportunities for mating compared to lower-ranking subordinate (SUB) individuals^{2,4,5}. Rank within a hierarchy is determined by frequent social interactions among individuals and is associated with distinct physiological and behavioral traits^{6,7}. These hierarchical structures, however, are dynamic and can be altered due to naturally occurring changes in the social environment (e.g., predation, death). Thus, in many species, individuals may have the opportunity to rise in social rank (i.e., social ascent) or descend in social rank (i.e., social descent). In addition to enhancing social stability, social hierarchies can have both positive and negative impacts on mental and physical health². The influence of social and environmental cues on social rank has been studied extensively in a variety of vertebrate species, including mice^{8,9}, rats¹⁰⁻¹³, fishes¹⁴, lizards¹⁵⁻¹⁷, and primates². Variation in numerous species-specific traits that are modulated by the social environment influences an individual's social rank, such as body size, social behaviors, and territory ownership. Well-known physiological correlates of social rank include a positive association between sex steroid hormone levels (e.g., androgens and estrogens), gonad mass, and territorial and mating behaviors.

Despite what is known about the behavioral and physiological traits linked with social rank, the molecular and neural mechanisms governing social status are unclear. The mechanisms controlling DOM-typical social behaviors (e.g., aggression, mating), however, have been investigated in detail. These studies have shown that androgens and estrogens control aggression and mating behaviors across vertebrate species^{18,19}. Moreover, there is considerable evidence that steroid hormone levels differ with social status and that correlations between steroids and behaviors associated with social rank are stronger during periods of social instability (e.g., establishment of dominance hierarchies or territories, competition)²⁰⁻²³. Fewer studies have characterized the neural and molecular mechanisms that regulate social status specifically, although recent work has begun to shed light on some of the processes involved²⁴⁻²⁶. Given the strong link between sex steroid hormones and social rank across vertebrates, investigating how sex steroid signaling systems control

social status is warranted. Additionally, sex steroid receptors and their ligands have relatively high homology among vertebrates^{27,28} and follow conserved expression patterns in the brain^{18,29,30}. Therefore, studying the regulation of social status by sex steroid hormones within a comparative framework may lead to important discoveries on the fundamental mechanisms underlying key aspects of social status across species. One of the most diverse groups of species for which comparative work may be especially fruitful are teleost fishes. In this review, we highlight research that has examined the relationships between sex steroid signaling and social status in teleosts. Given the diversity of life histories, species-specific variations in social hierarchies, and the availability of state-of-the-art genetic tools among these species, teleost fishes are an excellent group for enhancing our understanding of the control of social status by sex steroids. We begin our review by briefly describing the general functions of steroid hormones and the unique steroid signaling genes that teleosts possess due to a lineage-specific whole-genome duplication. Then, we discuss correlational, pharmacological, and genetic studies that provide insight into the regulation of social status by sex steroid hormones in teleost fishes. We assert that the use of teleost fishes as model organisms, along with the integration of gene editing methodologies, will be critical for elucidating the functions of sex steroids in governing social status across species.

2. A primer on steroid hormones in teleost fishes

2.1. Brief background on steroid mechanisms of action

Steroid hormones are a group of lipophilic molecules with a cholesterol backbone that can act as transcription factors or secondary messengers by binding to membranous, cytoplasmic, or nuclear receptors³¹. Steroidogenesis occurs in peripheral tissues, such as the gonads (the primary source of sex steroids) and adrenal glands, and specific brain regions through the actions of enzymes that synthesize steroids *de novo* from cholesterol or from prohormones in circulation³². Prohormones are often found at high levels in the blood and have lower affinity for receptors compared to their more active metabolites^{33,34}. Thus, the conversion of prohormones to more potent steroids within target tissues is critical for modulating physiological processes³⁵. For example, the androgen testosterone (T) can be converted to both androgenic and estrogenic metabolites, such as the androgen 5 α -dihydrotestosterone via the enzyme 5 α -reductase; the androgen 11-ketotestosterone (11-KT) via the enzymes 11-hydroxysteroid dehydrogenase types 1 and 2, which is the main androgen in teleost fishes and is also synthesized by other vertebrates³⁶⁻³⁸; and the estrogen 17 β -estradiol (E₂) via the enzyme aromatase^{35,36}.

Steroid receptors modulate a suite of processes, such as cellular signaling, body temperature and other homeostatic functions, sexual differentiation, and social behaviors. These processes are controlled through steroid receptors acting via one of two mechanisms: 1) a "genomic" mechanism, in which steroids bind to cytoplasmic or nuclear receptors, and 2) a "non-genomic" mechanism, in which steroids

activate a second messenger cascade by binding to G-protein coupled receptors or by modifying membrane-located ionic receptors^{39–41}. For example, testosterone can exhibit genomic mechanisms of action by binding to the androgen receptor, a process that results in dimerization and the formation of an androgen-receptor complex that then binds to a nuclear receptor to modulate gene expression. Conversely, non-genomic mechanisms of action may lead to indirect changes in gene expression, depending on the secondary messenger system or specific ion channels that are activated. Collectively, there are five major classes of steroid receptors, including androgen receptors (ARs), estrogen receptors (ERs), glucocorticoid receptors (GRs), mineralocorticoid receptors (MRs), and progesterone receptors (PRs). Like steroidogenic enzymes, steroid receptors are expressed in the periphery and brain.

2.2. Teleosts possess novel steroid receptors resulting from a teleost-specific whole-genome duplication

About 350 million years ago, the common ancestor of all teleost fishes underwent a whole-genome duplication event^{42,43}. This teleost-specific whole-genome duplication (TS-WGD) led to the replication of many important genes, including those associated with steroidogenesis and steroid signaling. After a whole-genome duplication event, duplicate genes (also referred to as “paralogs”) may face one or more of several fates. Most commonly, a redundant gene experiences relaxed selective pressure, which leads to the buildup of deleterious mutations and culminates in non-functionalization⁴⁴. Alternatively, a duplicate gene may undergo neofunctionalization, in which a gene experiences positive selection due to the accumulation of mutations that confer novel functions^{44,45}. In some cases, both gene paralogs may be maintained due to the partitioning of ancestral functions between the two daughter genes, a process referred to as subfunctionalization^{45,46}.

Many of the novel duplicate genes that encode steroidogenic enzymes and steroid receptors have been retained in teleost fishes, which may have a profound impact on the diversity of reproductive strategies and social structures in this lineage^{47–51}. While all other vertebrates possess one AR encoded by a single gene, most teleost fishes express two distinct ARs (AR α and AR β), which are encoded by two different genes (*ar1* and *ar2*)¹⁹. Furthermore, teleosts possess extra copies of ERs. Like other vertebrates, teleosts possess ER α and ER β , which are encoded by the genes *esr1* and *esr2*, as well as an additional copy of ER β . Therefore, teleosts have two ER β s (ER β 1 and ER β 2), which are encoded by *esr2a* and *esr2b*, respectively. Numerous teleost species also possess an extra GR and, thus, have two GRs (GR α and GR β), which are encoded by the genes *nr3c1a* and *nr3c1b*, respectively. Conversely, teleost fishes have only one MR. Most teleosts only have one PR, but goldfish (*Carassius auratus*) have two PRs (PR α and PR β) that are encoded by distinct genes (*pgr1* and *pgr2*), suggesting that the duplicate PRs were retained following the TS-WGD in this species. As observed for steroid receptors, teleost fishes also possess duplicate genes of many steroidogenic enzymes. For example, in teleosts, the two aromatase genes, *cyp19a1* and *cyp19a1a*, are

highly conserved and contrast with all other vertebrates, which only possess one aromatase gene. To date, the functions of these steroid systems in regulating social behaviors and social status have primarily been examined using correlational and pharmacological approaches. Thus, additional studies that use genetic manipulations to understand how steroids control social status and its associated physiological and behavioral processes are needed.

3. Neuroendocrine control of social status by sex steroids in teleosts

Many teleost fishes live in social groups, in which stability is maintained via hierarchies in one or more sexes^{52,53}. In this section, we discuss themes and variations that have been revealed about the role of steroid hormones in modulating behaviors associated with social status through studies of teleost fishes, specifically by highlighting correlational, pharmacological, and genetic studies of the neuroendocrine regulation of social status by steroids. We focus our review on sex steroids (androgens, estrogens, and progestins) as modulators of DOM-typical behaviors (e.g., aggression, mating), which have been major emphases of research investigating how steroids control social status in teleosts for the past several decades (for more information on the regulation of social status by glucocorticoids in teleost fishes and other vertebrates, see these reviews: 54–57).

3.1. Associations between sex steroids and social rank

The androgens T and 11-KT have been extensively studied for their functions in regulating aggression and mating in teleosts, including species that exhibit social hierarchies (Table 1)^{22,23}. Numerous studies have shown that DOM males have higher circulating androgen levels than SUB males, including African cichlids [Burton's mouthbrooder (*Astatotilapia burtoni*)^{58–62}; Mozambique tilapia (*Oreochromis mossambicus*)⁶³; Nile tilapia (*Oreochromis niloticus*)⁶⁴; daffodil cichlid (*Neolamprologus pulcher*)^{65–67}; Dimerus cichlid (*Cichlasoma dimerus*)^{68,69}; Nyerere's Victoria cichlid (*Pundamilia nyererei*)⁷⁰], salmonids [rainbow trout (*Oncorhynchus mykiss*)^{71,72}; brown trout (*Salmo trutta*)⁷¹; Arctic char (*Salvelinus alpinus*)⁷³], reef fish [stoplight parrotfish (*Sparisoma viride*)⁷⁴; New Zealand demoiselle (*Chromis dispilus*)⁷⁵; ocellated wrasse (*Symphodus ocellatus*)⁷⁶], Japanese medaka (*Oryzias latipes*)⁷⁷, and zebrafish (*Danio rerio*)⁷⁸. Moreover, levels of DOM-typical behaviors, such as male-male aggression and reproductive behaviors, are positively associated with circulating T and/or 11-KT in some of these species (e.g., *A. burtoni*^{79,80}; *C. dimerus*⁸¹). Similar relationships between circulating androgen levels and aggressive and reproductive behaviors have been documented in some teleost species that do not readily form social hierarchies, including Siamese fighting fish (*Betta splendens*)^{82,83}, peacock blennies (*Salaria pavo*)^{84,85}, swordtail fish (*Xiphophorus helleri*)⁸⁶, white perch (*Morone americana*)⁸⁷, Gulf toadfish (*Opsanus beta*)⁸⁸, plainfin midshipman (*Porichthys notatus*)⁸⁹, and three-spined stickleback (*Gasterosteus aculeatus*)^{90,91}. Few studies have sought to distinguish how circulating 11-KT and T are linked with aggressive and reproductive behaviors in teleosts, but work in African cichlids suggests that both

Table 1. Summary of correlational, pharmacological, and gene editing studies in teleost fishes that suggest a role for sex steroids in regulating social status in males.

Scientific Name	Common Name	Family	Hierarchy	Steroid	Circulating Levels	Pharmacology	Gene Editing	References
<i>Astatotilapia burtoni</i>	Burton's mouthbrooder	Cichlidae	♂ only	T	DOM > SUB	T ↑ aggression; DHT ↑ courtship; CA ↓ courtship	ARa mutation ↓ aggression; ARaβ mutation ↓ aggression	Alward <i>et al.</i> , 2019 ⁵⁸ ; 2020 ²⁶ ; Fernald, 1976 ⁹² ; Maruska and Fernald, 2010 ⁵⁹ ; Maruska <i>et al.</i> , 2013 ⁶⁰ ; O'Connell <i>et al.</i> , 2013 ⁶¹ ; O'Connell and Hofmann, 2012 ⁹³ ; Parikh <i>et al.</i> , 2006 ⁶²
				11-KT	DOM > SUB	---	---	Alward <i>et al.</i> , 2019 ⁵⁸ ; Maruska and Fernald, 2010 ⁵⁹ ; Maruska <i>et al.</i> , 2013 ⁶⁰ ; Parikh <i>et al.</i> , 2006 ⁶²
				E ₂	DOM > SUB	E ₂ ↑ aggression; FAD ↓ aggression	N/A	Huffman <i>et al.</i> , 2013 ⁹⁴ ; Maruska and Fernald, 2010 ⁵⁹ ; Maruska <i>et al.</i> , 2013 ⁶⁰ ; O'Connell <i>et al.</i> , 2013 ⁶¹ ; O'Connell and Hofmann, 2012 ⁹³
				PROG	DOM > SUB	DHP ↑ courtship; ZK0112993 ↓ courtship	N/A	O'Connell <i>et al.</i> , 2013 ⁶¹ ; O'Connell and Hofmann, 2012 ⁹³
<i>Oreochromis mossambicus</i>	Mozambique tilapia	Cichlidae	♂ only	11-KT	DOM > SUB	---	---	Golan and Levavi-Sivan, 2013 ⁶³
<i>Oreochromis niloticus</i>	Nile tilapia	Cichlidae	♂ only	11-KT	DOM > SUB	---	---	Pfennig <i>et al.</i> , 2012 ⁶⁴
<i>Pundamilia nyererei</i>	Nyerere's Victoria cichlid	Cichlidae	♂ only	11-KT	DOM > SUB	---	---	Dijkstra <i>et al.</i> , 2007 ⁷⁰
<i>Cichlasoma dimerus</i>	Dimerus cichlid	Cichlidae	Sex-specific (♂ only and ♀ only)	T	DOM > SUB	N/A	N/A	Ramallo <i>et al.</i> , 2015 ⁶⁹
				11-KT	DOM > SUB	---	---	Morandini <i>et al.</i> , 2014 ⁶⁸ ; Ramallo <i>et al.</i> , 2015 ⁶⁹
				E ₂	DOM < SUB	N/A	N/A	Ramallo <i>et al.</i> , 2015 ⁶⁹
<i>Neolamprologus pulcher</i>	Daffodil cichlid	Cichlidae	All individuals (♂ and ♀)	T	DOM > SUB	N/A	N/A	Aubin-Horth <i>et al.</i> , 2007 ⁶⁵ ; Desjardins <i>et al.</i> , 2008 ⁶⁶
				11-KT	DOM > SUB	---	---	Desjardins <i>et al.</i> , 2008 ⁶⁶ ; Taves <i>et al.</i> , 2009 ⁶⁷
<i>Oncorhynchus mykiss</i>	Rainbow trout	Salmonidae	♂ only	T	DOM > SUB	N/A	N/A	Cardwell <i>et al.</i> , 1996 ⁷¹ ; Liley and Kroon, 1995 ⁷²
				11-KT	DOM > SUB	---	---	Liley and Kroon, 1995 ⁷²

Scientific Name	Common Name	Family	Hierarchy	Steroid	Circulating Levels	Pharmacology	Gene Editing	References
<i>Salmo trutta</i>	Brown trout	Salmonidae	♂ only	T	DOM = SUB	N/A	N/A	Cardwell <i>et al.</i> , 1996 ⁷¹
				11-KT	DOM > SUB	---	---	Cardwell <i>et al.</i> , 1996 ⁷¹
<i>Salvelinus alpinus</i>	Arctic char	Salmonidae	♂ only	T	DOM > SUB	N/A	N/A	Elofsson <i>et al.</i> , 2000 ⁷³
				11-KT	DOM > SUB	---	---	Elofsson <i>et al.</i> , 2000 ⁷³
<i>Sparisoma viride</i>	Stoplight parrotfish	Scaridae	♂ only	T	DOM > SUB	N/A	N/A	Cardwell and Liley, 1991 ⁷⁴
				11-KT	DOM > SUB	---	---	Cardwell and Liley, 1991 ⁷⁴
<i>Chromis dispilus</i>	New Zealand demoiselle	Pomacentridae	♂ only	T	DOM > SUB	N/A	N/A	Pankhurst and Barnett, 1993 ⁷⁵
				11-KT	DOM > SUB	---	---	Pankhurst and Barnett, 1993 ⁷⁵
<i>Symphodus ocellatus</i>	Ocellated wrasse	Labridae	♂ only	T	DOM = SUB	N/A	N/A	Stiver <i>et al.</i> , 2015 ⁷⁶
				11-KT	DOM > SUB	---	---	Stiver <i>et al.</i> , 2015 ⁷⁶
				E ₂	DOM = SUB	N/A	N/A	Stiver <i>et al.</i> , 2015 ⁷⁶
<i>Onyzias latipes</i>	Japanese medaka	Adrianichthyidae	♂ only	T	N/A	N/A	ARα mutation ↓ reproductive behavior; ARβ mutation ↓ sexual motivation	Ogino <i>et al.</i> , 2023 ⁹⁵
				11-KT	DOM > SUB	---	---	Kagawa <i>et al.</i> , 2017 ⁷⁷
				E ₂	N/A	E ₂ and EE2 ↓ courtship	N/A	Balch <i>et al.</i> , 2004 ⁹⁶ ; Oshima <i>et al.</i> , 2003 ⁹⁷
<i>Danio rerio</i>	Zebrafish	Cyprinidae	Sex-specific (♂ only and ♀ only)	T	N/A	BPA ↓ courtship and ↑ aggression	AR KO ↓ courtship and aggression	Carver <i>et al.</i> , 2021 ⁹⁸ ; Lu <i>et al.</i> , 2017 ⁹⁹ ; Yong <i>et al.</i> , 2017 ¹⁰⁰
				11-KT	DOM > SUB	---	---	Filby <i>et al.</i> , 2010 ⁷⁸
				E ₂		EE2 ↑ aggression and ↓ social preference	N/A	Fenske <i>et al.</i> , 2020 ¹⁰¹
				PROG	N/A	N/A	PR KO ↑ aggression	Carver <i>et al.</i> , 2021 ⁹⁸

Results of studies that measured circulating sex steroid levels or the effects of pharmacological manipulations or genetic deletion of sex steroid receptors on dominant-typical behaviors in teleosts. Findings that are reported in this table for pharmacological studies are from dominant males. Only studies that investigated these mechanisms in teleost species that form social hierarchies are shown (see Section 3 for additional studies that examined the role of sex steroids in regulating aggressive or mating behaviors in species that do not form social hierarchies). Abbreviations: 11-KT, 11-ketotestosterone; ARα, androgen receptor alpha; ARβ, androgen receptor beta; BPA, bisphenol A; CA, cyproterone acetate; DHT, 5α-dihydrotestosterone; DHP, 5α-dihydroprogesterone; DOM, dominant; E₂, 17β-estradiol; EE2, ethinyl estradiol; FAD, fadrazole; KO, knockout; MT, 17α-methyltestosterone; PROG, progesterone; SUB, subordinate; T, testosterone.

of these androgens generally exhibit positive relationships with aggression^{62,67}. Collectively, these studies suggest a conserved role of androgens in controlling DOM-typical behaviors (e.g., aggression, mating) in teleost fishes. Further work is necessary, however, to determine whether 11-KT and T have distinct functions in regulating aggressive and reproductive behaviors, a question that can be addressed using pharmacological or molecular genetic approaches to selectively target their synthetic pathways.

In addition, androgens can be influenced by the social environment, even within a given social rank, which can affect the organization and stability of a dominance hierarchy within a population^{102–104}. For example, DOM males exhibit an increase in circulating T and/or 11-KT following inter-male agonistic interactions in many teleost species [*A. burtoni*⁵⁹; *N. pulcher*^{67,105}; *O. mossambicus*^{106,107}; *P. nyererei*⁷⁰; callipterus cichlid (*Lamprologus callipterus*), blunthead cichlid (*Tropheus moorii*), and *Pseudosimochromis curvifrons*¹⁰⁸; *D. rerio*^{107,109}]. Moreover, higher baseline levels of circulating androgens in DOM males can reinforce their status by increasing their chances of winning future agonistic interactions, a phenomenon called the winner effect (*O. mossambicus*¹⁰⁶; *Pundamilia* sp.¹¹⁰). Prior work also suggests that androgens are altered by social context, including exposure to other social interactions or environmental cues that may precede subsequent territorial intrusions (i.e., the bystander effect; *O. mossambicus*^{111,112}), the presence of conspecifics during an agonistic encounter (i.e., audience effects; *O. mossambicus*¹¹³; *B. splendens*⁸²), and the familiarity of an opponent (i.e., the dear enemy effect; *O. mossambicus*¹¹⁴). Together, these studies demonstrate how circulating T and 11-KT are affected not only by social status, but also by the social interactions that individuals encounter in their environment.

Although few studies have examined how estrogens and progestins vary based on social status, there is some support that these hormones are linked with a DOM social rank and its associated behaviors in cichlids²². Circulating levels of E₂ and progestins are higher in DOM males than SUB males in *A. burtoni*^{61,115}. Furthermore, E₂ and progestins are positively correlated with aggressive and reproductive behaviors in DOM male *A. burtoni*⁸⁰. It is important to note, however, that similar relationships between estrogens, progestins, and social status are not exhibited by all cichlids. For example, DOM male *C. dimerus* have lower circulating E₂ than SUB males, yet DOM males increase plasma E₂ levels following an aggressive interaction^{69,116}. Collectively, these findings suggest that circulating estrogens and progestins are linked with social status in cichlids, including aggression and mating behaviors, but that the direction of these correlations are species-specific. Given the paucity of research on how circulating estrogens and progestins are associated with social status and aggressive and reproductive behaviors, further studies are necessary to investigate these potential relationships in other teleost species, including those that exhibit and do not exhibit dominance hierarchies.

3.2. Pharmacological studies to understand the regulation of social status by sex steroids

In several teleost species, pharmacological manipulations have been used to investigate the role of sex steroid hormones in controlling aggressive and mating behaviors. Much of this work utilizes drugs that modify the activity of ER, AR, or PR signaling. A number of studies in zebrafish have used synthetic estrogen analogs to assess their impact on behavior, whereas others have used AR and PR agonists and antagonists to determine the role of these receptor systems in regulating aggressive and mating behaviors. In addition, the functions of sex steroids in modulating aggression, mating, and social status have been studied in-depth in *A. burtoni*, our lab's study species. In this section, we summarize pharmacological studies that have been conducted across teleost species to understand the regulation of social status by sex steroids, with an emphasis on findings in *A. burtoni*.

Zebrafish aggressive and mating behaviors have been studied in detail, and most pharmacological findings on the role of steroid hormones in regulating aggression and mating in this species are from experiments performed within a toxicology framework^{117,118}. For example, the effects of the synthetic estrogen analog ethinyl estradiol (EE2), a main ingredient in most birth control pills, and Bisphenol A (BPA), an endocrine disrupting chemical found in industrial plastics, have been tested in male and female zebrafish. BPA has been shown to act as an ER agonist, an AR antagonist, and an inhibitor of T synthesis¹¹⁹. In male zebrafish, EE2 treatment enhances aggression in a mirror assay and reduces social preference in a social cohesion assay¹⁰¹, whereas BPA administration reduces courtship behavior, but increases aggression towards male conspecifics¹²⁰. Similar results have been found in medaka species: E₂ injections and EE2 treatment significantly reduce mating behavior in male Japanese medaka^{96,121}, and EE2 administration decreases reproductive behaviors in mating pairs of brackish medaka (*Oryzias melastigma*)¹²¹. Similar to findings in medaka and zebrafish, EE2 treatment disrupts reproductive and aggressive behaviors in male fathead minnows (*Pimephales promelas*)¹²². Males given EE2 show a reduced ability to compete for and clean spawning sites and exhibit a lower frequency of chasing behavior directed towards male conspecifics.

Further insight into the neuroendocrine regulation of aggression and mating has been gained from research that has altered androgen levels using synthetic compounds. For example, Belanger *et al.* tested the effects of the aromatizable synthetic androgen 17 α -methyltestosterone (MT) on sensitivity to female pheromones and courtship behaviors in males of four different cyprinid species: tinfoil barb (*Barbonymus schwanenfeldii*), redbtail sharkminnows (*Epalzeorhynchus bicolor*), goldfish, and zebrafish¹²³. MT injections enhanced pheromone detection of prostaglandins (measured via electroolfactogram recordings) in all four species and elevated courtship in juvenile redbtail sharkminnows. These results suggest that cyprinids share evolutionarily conserved olfactory,

but not behavioral responses to pheromonal prostaglandins, mechanisms that are mediated by androgens.

Moreover, the use of steroid agonists and antagonists has been critical for examining how sex steroids influence DOM-typical behaviors. In the monogamous convict cichlid (*Amatitlania nigrofasciata*), treatment with the non-steroidal AR antagonist flutamide reduces courtship behaviors, but has no effect on aggressive behaviors in males¹²⁴. In male *A. burtoni*, injection of the aromatase inhibitor fadrozole (FAD) reduces the frequency of attacks directed towards male opponents, but does not affect courtship⁹⁴. Likewise, FAD treatment decreases the rate of attacks directed towards male conspecifics in the weakly electric fish *Gymnotus omarorum*¹²⁵. Therefore, results from *A. burtoni* and weakly electric fish demonstrate that estrogen synthesis is required for aggressive, but not courtship behaviors. These findings contrast with those described above for the effects of the synthetic estrogen EE2 on behavior in zebrafish and medaka, which could suggest that EE2 administration affects certain estrogenic signaling pathways differently than naturally synthesized estrogens. Nonetheless, the diversity of teleost fishes in which the influence of estrogenic signaling molecules can be studied, together with an array of available pharmacological approaches, will yield fundamental discoveries on the hormonal mechanisms underlying social behaviors.

In addition to variation in reproductive systems, teleost fishes also exhibit diverse parental care strategies, providing further avenues for exploring the control of mating and aggressive behaviors by steroid hormones across species using pharmacological manipulations. For example, in bluegill (*Lepomis macrochirus*), parental behavior is exhibited solely by males. To test how the neuroendocrine control of mating behavior may be affected in this system, Kindler *et al.* tested the effects of two androgens, 11-KT and T, and cyproterone acetate (CA), a steroidal AR antagonist, on courtship behavior in male bluegill during prespawning and parental periods¹²⁶. Using CA to block AR function may be ideal in teleost species, given the presence of an additional, novel AR paralogous gene. Indeed, since CA is steroidal, it blocks access of androgens to the ligand binding domains of either AR¹²⁷. 11-KT and T implants failed to stimulate nest building in parental males in spring and early summer, while CA treatment reduced reproduction in male bluegill. 11-KT treatment did, however, enhance courtship behaviors in nesting males, whereas T or CA treatment did not induce these behaviors. These results suggest an important role for androgens in controlling courtship in male bluegill, an effect that may depend on the sensitivity of androgen signaling as a function of spawning or parental care phase. Thus, teleost fishes, like bluegill, may provide a unique opportunity to dissect the role of sex steroids in modulating aggression and mating across distinct life-history stages.

Finally, pharmacological approaches can be used to characterize the regulation of neural circuitry underlying aggressive and reproductive behaviors via sex steroids. In teleosts, reproductive or territorial state can be expressed via a variety of signals. For example, male plainfin midshipman (*Porichthys notatus*) perform vocalizations that function for courtship

and agonistic purposes¹²⁸. Ramage-Healey and Bass assessed whether different steroid hormones modulate these vocalizations by combining pharmacological manipulations with electrophysiology. Male midshipman vocalizations are controlled by a hindbrain–spinal circuit, which regulates the frequency and duration of neuronal firing in the rhythmic vocal motor system. This output directly determines the pair of muscles that modulate the fundamental frequency and duration of vocalizations. Thus, by recording from neurons in the occipital nerve roots, researchers can measure “fictive” vocalizations in restrained animals in controlled electrophysiology settings, where distinct pharmacological manipulations can be performed. The authors found that androgens, glucocorticoids, and estrogens modulate the duration of vocalizations generated by male midshipman within 15 minutes of administration. These findings suggest that different steroid hormones released during social interactions can rapidly alter courtship and agonistic behaviors.

3.2.1. A focus on pharmacological studies in *A. burtoni*. In the African cichlid *A. burtoni*, the use of different ER, AR, and PR agonists and antagonists, as well as aromatase inhibitors, have enhanced our understanding of the functions of estrogens, androgens, and progestins in controlling aggression and mating (Table 1). The first study to investigate the hormonal modulation of behavior in *A. burtoni* manipulated androgen levels in males using the synthetic androgen testosterone propionate. In this experiment, Fernald⁹² found that testosterone propionate administration enhances body coloration and attacks towards male conspecifics, but does not alter courtship behavior.

Other pharmacology experiments in *A. burtoni* demonstrate the complex regulation of social behavior by steroid hormones. O’Connell and Hofmann⁹³ injected DOM or SUB males with different ER, AR, and PR agonists and antagonists and measured aggressive, mating, and submissive behaviors. Male status was confirmed visually before fish were injected with one of the pharmacological treatments. E₂ injections increased aggression in DOM and SUB males, while injections of the ER antagonist ICI182780 reduced aggression in DOM males. Surprisingly, after a slight reduction in SUB male aggression following ER antagonism, rates of aggression paralleled those of the fish given the ER agonist. This pattern was not observed in DOM males, suggesting that the modulation of DOM-typical behaviors by estrogens is dependent on social status.

Prior work also suggests roles for androgens and progestins in regulating aggressive and reproductive behaviors. In DOM male *A. burtoni*, 5 α -dihydrotestosterone (DHT) administration stimulates, whereas CA reduces courtship behavior. These manipulations do not alter aggression, however. In contrast, neither DHT nor CA affects the rate of courtship or aggressive behaviors displayed by SUB males. PR manipulations also affect distinct behaviors in *A. burtoni* males. 5 α -dihydroprogesterone (DHP) treatment increases courtship but not aggression in DOM males, while treatment with the PR antagonist ZK0112993 reduces courtship but not aggression. In SUB males, DHP administration does not impact aggression or courtship but reduces fleeing. Furthermore,

male *A. burtoni* injected with the aromatase inhibitor FAD perform fewer aggressive behaviors compared to uninjected males, while courtship behaviors are not impacted⁹⁴. Collectively, these results highlight the complexity of distinct sex steroid signaling systems in controlling social behaviors in *A. burtoni* in a status-specific manner.

The findings from O'Connell and Hofmann led Alward *et al.*⁵⁸ to hypothesize that androgen signaling is critical for social ascent in male *A. burtoni*. Specifically, because AR receptors alter courtship behavior in DOM, but not SUB males, yet ER manipulation alters aggression in both DOM and SUB males, both social opportunity and androgen signaling may be important in enabling a rise in rank from SUB to DOM social status. To test this hypothesis, experimentally suppressed SUB males were injected with CA before being given the opportunity to ascend in social status using an established paradigm. In this assay, a suppressed focal male is housed with a larger suppressor male and three females in a central compartment, and identical social environments containing males smaller than the focal male are located on either side of this central compartment. To provide an opportunity for social ascent, the larger suppressor male is removed during the middle of the night. When the lights come on in the morning, the suppressed male identifies this social opportunity and ascends. This approach has been used frequently and reliably induces social ascent in *A. burtoni* in a controlled experimental setup^{58,129–132}. In this study, SUB males injected with vehicle ascended after removal of the suppressor male, exhibiting increased eye-bar intensity and body coloration and elevated aggression and courtship behaviors. Conversely, SUB males injected with CA showed all of the above features, but displayed deficits in courtship behavior following social ascent. Thus, these results suggest that androgens regulate courtship and support the hypothesis that androgen signaling and social opportunity combine to enable social ascent.

3.3. Gene editing in teleosts: Emerging comparative models for characterizing the neuroendocrine regulation of social rank

In recent years, gene editing methodologies have been used to generate a variety of mutant teleost fishes lacking functional ARs, ERs, or PRs, which are particularly useful models for examining the functional significance of sex steroids in controlling social rank. To date, sex steroid signaling systems have been genetically modified in zebrafish, *A. burtoni*, medaka, and tilapia. In this section, we discuss relevant findings from each species and place a special emphasis on *A. burtoni*, as this species has been studied most extensively with respect to the hormonal regulation of social status.

3.3.1. Zebrafish. Zebrafish lacking functional ARs were made using two gene editing approaches: CRISPR/Cas9 (Clustered Regularly Interspaced Short Palindromic Repeats) and TALENs (Transcription Activator-Like Effector Nucleases)¹⁰⁰. In this study, courtship behaviors were assessed in wild-type (WT) males, which possess two functional AR alleles, and knockout (KO) males, which possess two non-functional AR alleles. WT males performed more courtship behaviors than KO males, indicating that androgenic signaling is required for courtship in male zebrafish. Moreover, the roles of androgen and

progesterone signaling in regulating aggression have been investigated by developing AR and PR mutant male zebrafish via CRISPR/Cas9⁹⁸. AR KO fish perform fewer attacks compared to both WT and PR KO fish, whereas PR KO fish perform more attacks compared to both groups. These results suggest that ARs stimulate aggressive behavior, while PR inhibits aggression in male zebrafish. ER KO and aromatase gene knockout zebrafish have also been made^{99,133–136}, but these mutants have only been used for studies focused on reproductive development and have yet to be used to examine social status traits, such as male-typical aggression or courtship. These mutants have the potential to reveal novel insight into the complex roles played by distinct ERs and aromatase genes in regulating physiological processes and behaviors linked with social rank.

3.3.2. *A. burtoni*. Our lab used CRISPR/Cas9 gene editing to generate *A. burtoni* lacking functional AR α , AR β , or both²⁶. We found that DOM AR α mutant males perform fewer mating and territorial behaviors than DOM WT males, but exhibit other DOM-typical traits, including large testes and bright coloration. Conversely, DOM AR β mutant males display WT-typical levels of mating and territorial behaviors, yet possess abnormally small testes and drab coloration. Interestingly, both DOM AR α and AR β mutant males perform WT levels of a physical aggressive behavior called male attacks. Males lacking both receptors (AR $\alpha\beta$ mutants) do not perform attacks towards males, however. Taken together, these results suggest that reproductive physiology and behaviors relevant to social status are regulated by distinct AR genes in *A. burtoni*, suggesting that non-redundant mechanisms control different traits of social rank. No ER or aromatase mutant *A. burtoni* have been engineered, but these fish would provide an excellent opportunity to investigate the role of estrogenic signaling pathways in regulating social status in future work.

3.3.3. Medaka. ER mutant medaka have been used for studies focused on reproductive development and female mating behavior, but not male-typical aggression or courtship^{137–139}. In a recent study, AR mutant medaka were used to determine the role of distinct AR genes (AR α and AR β) on male-typical reproductive physiology, morphology, and courtship behavior⁹⁵. In terms of results pertaining to the role of sex steroid hormones in controlling social dominance, this study revealed that AR α is necessary for tooth enlargement and a reproductive behavior that stimulates receptivity in females, whereas AR β is required for fin morphogenesis and sexual motivation in males.

3.3.4. Nile tilapia. Nile tilapia lacking functional ERs and aromatase genes have been engineered as well^{140–142}, but these mutants have not been used to investigate male- or female-typical mating or aggressive behaviors, which would enhance our understanding of the hormonal basis of social dominance. ER, aromatase, and other genetic mutant tilapia may be especially useful for revealing conserved or divergent mechanisms underlying the neuroendocrine control of social status, as this group of teleosts is particularly diverse with respect to reproductive strategies and social structures across species.

4. Discussion

Social hierarchies are an essential component of group living for many animals^{1,2,6,7}. Although the neuroendocrine control of behaviors associated with dominance (e.g., aggression, mating) have been studied for decades^{22,143–147}, we know little about the specific neural and molecular mechanisms through which sex steroids act to regulate social rank, especially in non-traditional animal models. In this review, we highlighted correlational, pharmacological, and molecular genetic studies on the regulation of social rank by sex steroids in teleost fishes. Specifically, we discussed how prior research has provided strong evidence of a relationship between circulating sex steroid levels, their mechanisms of action, and

DOM-typical behaviors (e.g., aggression, mating) in males across species of teleosts. Furthermore, we highlighted recent studies that have integrated gene editing approaches to study the hormonal control of social status. Taken together, this research demonstrates the utility of using both pharmacological and state-of-the-art genetic tools to characterize how sex steroids regulate social status, both within and among teleost species.

Teleost fishes form the largest and most speciose vertebrate clade and, thus, exhibit remarkable variation in reproductive strategies, sexual systems, and social structures, among both distant and closely related species (Figure 1)^{148–150}. Despite this

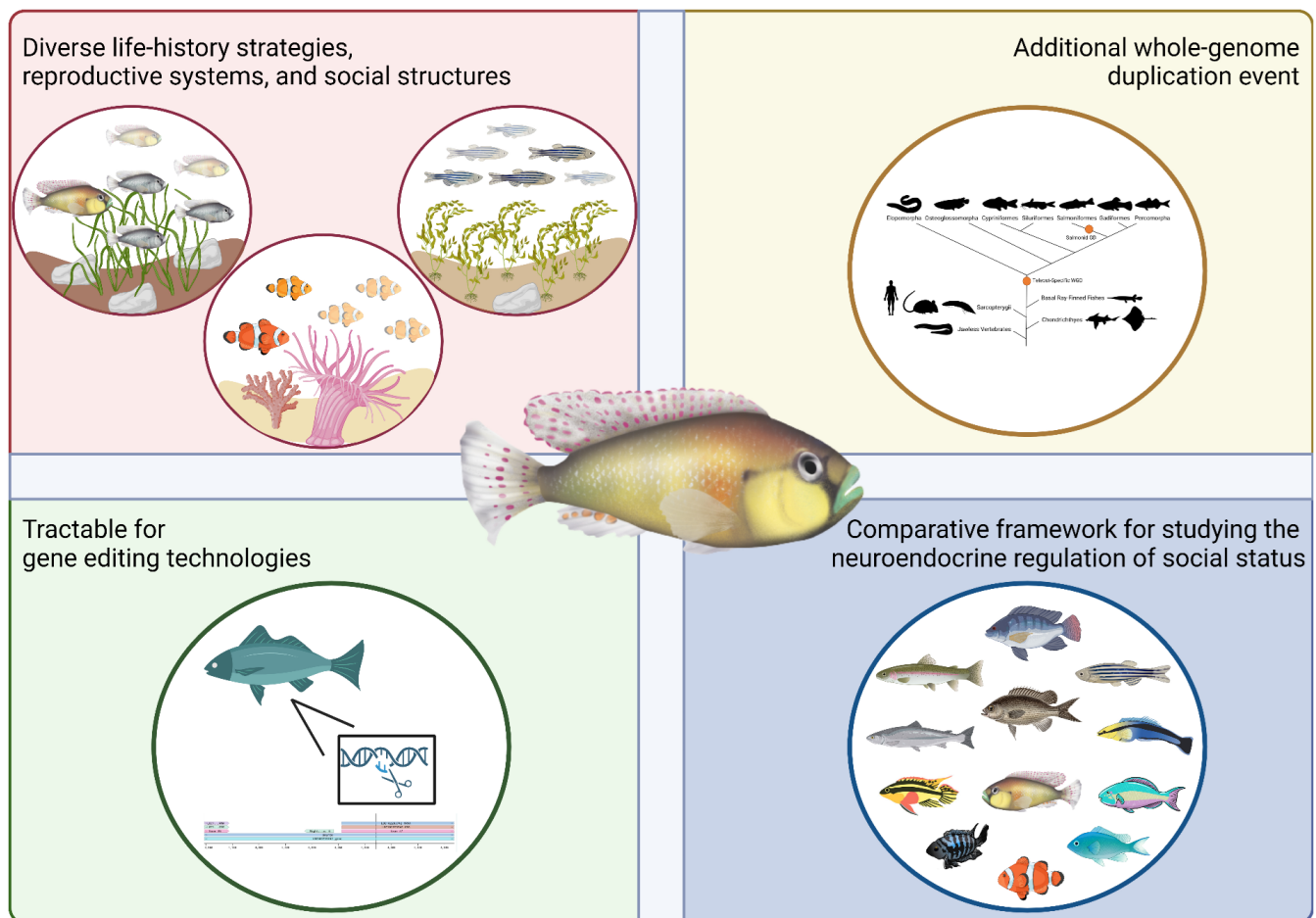


Figure 1. Summary of advantages of using teleost fishes to study the neuroendocrine regulation of social status by sex steroids. (Top left) Teleosts exhibit diverse life-history strategies, including variation in reproductive systems and social structures. For example, the African cichlid fish *Astatotilapia burtoni* (left circle) has a polygamous mating system with a male-specific social hierarchy, in which large dominant males (yellow) possess territories that are used to mate with females (gray) and actively defend their territories from small subordinate males (transparent yellow). In contrast, anemonefish (*Amphiprion* and *Premnas* sp.; center circle) have a monogamous mating system with a non-sex-specific social hierarchy, in which a large dominant female (dark orange) mates with a small male (light orange) and actively defends their territory from subordinate, non-breeding individuals (transparent light orange). Zebrafish (*Danio rerio*; right circle) have a polygamous mating system with sex-specific social hierarchies, in which large dominant males and females (blue and white) defend their territories against small subordinate individuals of the same sex (transparent blue and white). **(Top right)** Teleosts possess duplicate gene paralogs due to a teleost-specific whole-genome duplication and, in some cases, order-specific whole-genome duplication events (e.g., Salmoniformes). **(Center)** The African cichlid *A. burtoni* has been an important model organism for characterizing the role of sex steroids in modulating social status. **(Bottom left)** Teleosts are tractable model organisms for using state-of-the-art genetic tools, such as CRISPR/Cas9 gene editing. **(Bottom right)** Teleosts provide an excellent opportunity to study the evolution of the neuroendocrine control of social status by sex steroids using a comparative approach.

extraordinary diversity, the role of sex steroids in controlling social rank has been studied in surprisingly few species. Teleosts provide an excellent opportunity to elucidate the neural and molecular mechanisms that regulate social rank and to examine how these mechanisms may differ among distant or closely related species that exhibit comparable or distinct life-history strategies. For example, cichlids (family Cichlidae) show remarkable diversity in their reproductive strategies and social structures, even among closely related species. The African cichlid *A. burtoni* exhibits a polygamous mating system, in which males form a dominance hierarchy and females exclusively provide maternal care^{130,151}. Conversely, the African cichlid *N. pulcher* has a monogamous mating system, in which all individuals in a population form a dominance hierarchy. In this species, social groups consist of a DOM mating pair and SUB helpers of both sexes, which provide parental care to offspring^{152–154}. Some teleosts also exhibit dominance hierarchies within a sexually dynamic system, in which individuals undergo sex change during adulthood in response to changes in their social environment. The most well-studied models of the neural and hormonal control of social rank and its associated behaviors are sex-changing species of reef fish, including bluehead wrasse (*Thalassoma bifasciatum*), anemonefish (*Amphiprion* and *Premnas* sp.), and bluebanded gobies (*Lythrypnus dalli*)^{155–158}. Future research that uses a comparative framework to characterize the neural and molecular mechanisms controlling social status, especially across species with diverse life-history strategies, will be an important tool for studying the evolution of social behavior and its underlying processes in teleost fishes.

Additionally, most studies on sex steroids and social status in teleosts have focused primarily on DOM individuals within social hierarchies. Thus, little is known about the neuroendocrine control of behavior in SUB individuals. While few studies have directly assessed the role of sex steroids in regulating traits associated with subordination, circulating steroid levels (especially androgens) are typically negatively associated with a SUB social rank^{159–161}. There is also considerable evidence that sex steroids interact with other neuromodulators, such as neurotransmitters, to regulate the brain and behavior^{162–165}. Further studies are necessary to elucidate the functions of sex steroid hormones in controlling SUB-typical behaviors and to assess whether these mechanisms differ between DOM and SUB individuals. Moreover, prior work that has examined how sex steroids regulate social rank in teleosts has used species in which males form social hierarchies. Thus, the functions of sex steroids in regulating social status in female fish, as well as potential sex differences in these underlying mechanisms, are relatively unexplored. These questions can be addressed using teleost species that exhibit female- or non-sex-specific social hierarchies. For example, zebrafish exhibit female-female dominance hierarchies within their social groups^{166,167}, whereas in the African cichlid *N. pulcher*, one dominance hierarchy exists among all individuals in a social group, regardless of sex^{152,154,168}.

Additional research is needed to assess sex differences in the regulation of social rank by sex steroids and how these mechanisms may differ among species with different social structures.

Finally, research on the control of social status by sex steroids in teleosts will benefit by using state-of-the-art genetic methodologies (Figure 1)^{19,169,170}. To date, gene editing has only been utilized in a few teleost species, including zebrafish, *A. burtoni*, medaka, and Nile tilapia. The advent of this methodology in teleosts, along with the increased availability and reduced cost of whole-genome sequencing applications in recent years, will make gene editing a feasible approach for studying the neural and molecular mechanisms controlling social status across teleost species. Teleosts also represent a novel opportunity for disentangling the effects of sex steroids on social status and its associated behaviors due to a teleost-specific whole-genome duplication^{19,170}. Indeed, there is emerging evidence that some steroid receptors and enzymes within the steroidogenic pathway, including AR α and AR β) and aromatase (*cyp19a1* and *cyp19a1a*), have undergone subfunctionalization, in which both paralogs have been retained due to the complementary division of ancestral functions⁴⁵. For example, teleosts have two androgen receptors, AR α and AR β , which have distinct roles in regulating traits associated with a DOM social status in male *A. burtoni*. AR α mutants generated via CRISPR/Cas9 gene editing are brightly colored and have large testes, but show deficits in aggressive and mating behaviors, whereas AR β mutants lack bright coloration and show reduced testes size, but perform normal levels of DOM-typical behaviors²⁶. Moreover, because teleosts possess novel duplicate paralogs of ancestral genes, pharmacological manipulations provide little insight into the functions of specific steroid receptors and their synthetic enzymes, as this approach often involves the use of agonists or antagonists that block all subtypes of a steroid receptor or steroidogenic enzyme. Thus, the specificity of gene editing and its more widespread use in teleost fishes will enhance our understanding of how novel steroid receptors and steroidogenic enzymes, such as AR α and AR β , control social status and will enable researchers to assess the neuroendocrine regulation of social behavior using a comparative framework.

5. Conclusions

Many species are inherently social, and dominance hierarchies are an essential component of establishing and maintaining stability in group-living animals. While prior research has characterized how sex steroids control aggressive and reproductive behaviors, relatively few studies have examined the neural and molecular mechanisms through which sex steroids regulate social status and its associated behaviors using model organisms that exhibit dominance hierarchies. Teleost fishes are excellent models for addressing these outstanding questions, as they display remarkable variation in their life-history strategies, including reproductive systems and social structures,

often among closely related species. Moreover, teleosts possess novel duplicate paralogs of steroid-related genes due to a teleost-specific whole genome duplication, enabling researchers to disentangle the roles of sex steroids in regulating distinct traits associated with social rank. With the advent of gene editing technologies, such as CRISPR/Cas9, teleost fishes will be invaluable models for elucidating how sex steroids and other neuroendocrine substrates modulate traits linked with social status. More broadly, future research that implements these state-of-the-art tools in teleosts using interdisciplinary and comparative approaches will provide critical

insight into how these mechanisms have evolved, and will continue to evolve, in vertebrates.

Data availability

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Malgorzata Kotula-Balak

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The review by Munley deals with the sophisticated problem which is sex steroid control of social status in teleost fishes. The article is well-written with information on current pharmacological and molecular approaches. The summary illustration is helpful. Only some minor considerations are suggested.

1. It should be clearly stated that the primary source of sex hormones is gonad.

2. Nongenomic action is currently studied in detail mechanism of sex steroid action (ZIP9, GPER receptors etc.) with special emphasis on endocrine disrupting chemicals that are present too in an aquatic environment.

Is the topic of the review discussed comprehensively in the context of the current literature?

Partly

Are all factual statements correct and adequately supported by citations?

Yes

Is the review written in accessible language?

Yes

Are the conclusions drawn appropriate in the context of the current research literature?

Yes

Competing Interests: No competing interests were disclosed.

Reviewer Expertise: Endocrinology

I confirm that I have read this submission and believe that I have an appropriate level of

expertise to confirm that it is of an acceptable scientific standard.

Author Response 28 Feb 2024

Beau Alward

Reviewer #2 (Malgorzata Kotula-Balak) The review by Munley deals with the sophisticated problem which is sex steroid control of social status in teleost fishes. The article is well-written with information on current pharmacological and molecular approaches. The summary illustration is helpful. Only some minor considerations are suggested.

Author Response: Thank you for this positive assessment of our work. We appreciate your comments and suggestions, which we address point-by-point below.

1. It should be clearly stated that the primary source of sex hormones is gonad.

Author Response: We have added this information to Section 2.1 of our manuscript (page 4, lines 131-133).

1. Nongenomic action is currently studied in detail mechanism of sex steroid action (ZIP9, GPER receptors etc.) with special emphasis on endocrine disrupting chemicals that are present too in an aquatic environment.

Author Response: Thank you for bringing this literature to our attention. We have added citations for this work to Section 2.1 (pages 4-5, lines 144-148).

Competing Interests: No competing interests were disclosed.

Reviewer Report 12 October 2023

<https://doi.org/10.21956/molpsychol.18850.r27277>

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Elizabeth Adkins-Regan

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This is an informative review of an interesting topic, the role of sex steroids and their receptors in social status in teleost fishes. As the review points out early on, it is key to distinguish between social behavior *per se* (aggression, mating, etc.) and social status and its determination. Status is the product of interactions with others and is dynamic and plastic. It is not a fixed property of an individual, but depends on the behavior of others and relationships with them. A major point of the review is the value of a comparative approach to the topic through the study of a diverse array of species, and in particular the value of studying teleost fishes, the most speciose groups of vertebrates. As the review emphasizes, teleosts show a wide variety of social systems. The sensitivity of their dominance relationships to social context and recent history show all the hallmarks of learning and cognition seen in birds and mammals, such as winner effects, bystander

effects, audience effects, and dear enemy effects. Also fascinating is that teleosts underwent a whole genome duplication in their ancestry that makes them excellent candidates for gene manipulation approaches such as CRISPR/Cas9. The review will be valuable for a broad community of researchers interested in hormonal and neural mechanisms of social behavior.

There were a couple of puzzling omissions in the otherwise comprehensive coverage of the topic. One concerns 11-ketotestosterone. The authors should try to summarize whether, and if so, how, the role of 11-ketotestosterone differs from that of testosterone rather than leaving it up to the reader to try to figure this out from the table and text. Also, do teleosts have 11-ketotestosterone because of an enzyme gene duplication? Could its production conceivably be knocked out separately from that of other androgens? Also, at the end of section 3.1, where the role of estrogens in males is discussed, the authors should say something about estrogens and female status.

Also puzzling was the conclusion in the last sentence of section 3.2.1 ("and that androgen signaling and social opportunity combine to enable social ascent"). Doesn't the result of the CA experiment argue against a role for androgen signaling in ascent?

In Figure 1, the top right box shows a second gene duplication in the Salmoniformes. This was not mentioned in the figure caption. If it is discussed in the text, the authors could state where (which section) in the caption.

There are quite a few places where there is a typo or a word is missing. For example, there are three typos toward the end of the first paragraph of section 2.1, and a word missing in the first sentence of section 3.3. Without line numbers, it is difficult to enumerate all the rest, but a careful reading should reveal them for the authors to fix.

Is the topic of the review discussed comprehensively in the context of the current literature?

Yes

Are all factual statements correct and adequately supported by citations?

Yes

Is the review written in accessible language?

Yes

Are the conclusions drawn appropriate in the context of the current research literature?

Yes

Competing Interests: No competing interests were disclosed.

Reviewer Expertise: Comparative behavioral neuroendocrinology

I confirm that I have read this submission and believe that I have an appropriate level of expertise to confirm that it is of an acceptable scientific standard.

Author Response 28 Feb 2024

Beau Alward

Comments from Reviewers: Reviewer #1 (Elizabeth Adkins-Regan)

This is an informative review of an interesting topic, the role of sex steroids and their receptors in social status in teleost fishes. As the review points out early on, it is key to distinguish between social behavior per se (aggression, mating, etc.) and social status and its determination. Status is the product of interactions with others and is dynamic and plastic. It is not a fixed property of an individual, but depends on the behavior of others and relationships with them. A major point of the review is the value of a comparative approach to the topic through the study of a diverse array of species, and in particular the value of studying teleost fishes, the most speciose groups of vertebrates. As the review emphasizes, teleosts show a wide variety of social systems. The sensitivity of their dominance relationships to social context and recent history show all the hallmarks of learning and cognition seen in birds and mammals, such as winner effects, bystander effects, audience effects, and dear enemy effects. Also fascinating is that teleosts underwent a whole genome duplication in their ancestry that makes them excellent candidates for gene manipulation approaches such as CRISPR/Cas9. The review will be valuable for a broad community of researchers interested in hormonal and neural mechanisms of social behavior.

Author Response: Thank you for the positive feedback and for your comments, which we address in greater detail below. We found these suggestions helpful and believe they will significantly improve the clarity of our manuscript.

1. There were a couple of puzzling omissions in the otherwise comprehensive coverage of the topic. One concerns 11-ketotestosterone. The authors should try to summarize whether, and if so, how, the role of 11-ketotestosterone differs from that of testosterone rather than leaving it up to the reader to try to figure this out from the table and text. Also, do teleosts have 11-ketotestosterone because of an enzyme gene duplication? Could its production conceivably be knocked out separately from that of other androgens? Also, at the end of section 3.1, where the role of estrogens in males is discussed, the authors should say something about estrogens and female status.

Author Response: Thank you for bringing these omissions to our attention, and these are all excellent points. 11-ketotestosterone (11-KT) is secreted by other vertebrates (e.g., mice: Yazawa et al. 2008, *Endocrinology*; humans: Imamichi et al. 2016, *J. Clin. Endocrinol. Metab.*), but circulating 11-KT levels are often low in most taxa, with the exception of fishes. We have clarified this point in Section 2.1 of our manuscript (page 4, lines 136-142). Prior work in teleosts suggests that circulating 11-KT and testosterone levels generally show similar patterns with respect to social status and behavioral interactions with conspecifics (e.g., aggressive encounters; Parikh et al. 2006, *Behav. Brain. Res.*; Taves et al. 2009, *Gen. Comp. Endocrinol.*), although to our knowledge, few studies have sought to distinguish the roles of these androgens in controlling social status. We have revised Section 3.1 so that it explicitly addresses this topic (page 7, lines 228-231), which should make this point clearer to readers. As the reviewer eludes to, 11-KT is primarily produced via its conversion from testosterone to 11 β -hydroxytestosterone via the enzyme CYP11B1 and, subsequently, to 11-KT via the enzyme 11 β -HSD2. Thus, 11-KT production is distinct from that of testosterone and can

be blocked experimentally to test how 11-KT and testosterone may regulate social status. We now discuss the aforementioned experiment as a potential future direction in Section 3.1 (page 7, lines 233-236). Regarding the role of estrogens in controlling social status in females, few studies have examined the neuroendocrine regulation of social rank in female teleost fishes. We present and discuss this point in Section 4 as a topic for future investigation (page 16, lines 516-525).

1. Also puzzling was the conclusion in the last sentence of section 3.2.1 ("and that androgen signaling and social opportunity combine to enable social ascent"). Doesn't the result of the CA experiment argue against a role for androgen signaling in ascent?

Author Response: Because subordinate male *A. burtoni* administered CA showed deficits in dominant-typical courtship behaviors following social ascent compared to control fish, we argue that these results support a role for androgens in regulating courtship, which is a critical behavior for both socially ascending and dominant males. We have included these additional details to further justify our conclusion (pages 12-13, lines 403-409).

1. In Figure 1, the top right box shows a second gene duplication in the Salmoniformes. This was not mentioned in the figure caption. If it is discussed in the text, the authors could state where (which section) in the caption.

Author Response: Thank you for pointing this out. There are a few orders of teleost fishes, such as the salmonids, that underwent an additional lineage-specific genome duplication, in addition to the teleost-specific whole genome duplication that occurred across all orders of teleosts. Due to the scope of our review, we have opted not to explicitly discuss this topic in our manuscript. We have, however, added a sentence to the legend for Figure 1 to clarify this point for readers (page 31, lines 1044-1046).

1. There are quite a few places where there is a typo or a word is missing. For example, there are three typos toward the end of the first paragraph of section 2.1, and a word missing in the first sentence of section 3.3. Without line numbers, it is difficult to enumerate all the rest, but a careful reading should reveal them for the authors to fix.

Author Response: Thank you for highlighting these mistakes, which were an oversight on our part. We have carefully reread the manuscript to ensure that any remaining typos were revised accordingly.

Competing Interests: No competing interests were disclosed.